

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 209745US25XCONT Client Ref: OBSP/5R-GARD

First Inventor or Application Identifier WILL H. G. NSWARTZ

Title COMMUNICATING WITH A COMPUTER BASED ON THE OFFLINE PURCHASE HISTORY OF A PARTICULAR CONSUMER

Assignee Name: SuperMarkets Online, Inc.

Assignee Address: 500 West Putnam Avenue, Greenwich, Connecticut 06830

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

ACCOMPANYING APPLICATION PARTS

2. ☒ Specification Total Sheets **45**
3. ☒ Drawing(s) (35 U.S.C. 113) (FORMAL) Total Sheets **11**
4. ☒ Oath or Declaration Total Pages **3**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

7. ☒ Assignment Papers were recorded at Reel/Frame 9971/0240
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449/892 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Applicant claims small entity status. See 37 CFR 1.27
16. ☒ Other: Request for Priority; Letter Requesting Approval of Drawing Changes (w/attached Figs. 1 and 3)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/472,069

Prior application information: Examiner: Champagne, D. Group Art Unit: 2162

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



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Name:	Robert C. Mattson	Registration No.:	42,850
Signature:		Date:	6-29-01
Name:		Registration No.:	

Docket No. 209745US25XCONT
Client Ref: OBSP/5R-GARD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) WILL H. GARDENSWARTZ ET AL

SERIAL NO: NEW APPLICATION

FILING DATE: HEREWITH

FOR: COMMUNICATING WITH A COMPUTER BASED ON THE OFFLINE PURCHASE HISTORY OF A PARTICULAR CONSUMER

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	42 - 20 =	22	× \$18 =	\$396.00
INDEPENDENT CLAIMS	9 - 3 =	6	× \$80 =	\$480.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,586.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,586.00

☐ Please charge Deposit Account No. 15-0030 in the amount of


A duplicate copy of this sheet is enclosed.

☒ A check in the amount of **\$1,586.00** to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Robert C. Mattson
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Date:



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Docket No. 209745US25XCONT
Client Ref: OBSP/5R-GARD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: WILL H. GARDENSWARTZ ET AL

GAU:

SERIAL NO: NEW APPLICATION

EXAMINER:

FILED: HEREWITH

FOR: COMMUNICATING WITH A COMPUTER BASED ON THE OFFLINE PURCHASE HISTORY OF A PARTICULAR CONSUMER

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 09/472,069, filed December 23, 1999, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number , filed , is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☐ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
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Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
(B) Application Serial No.(s)
- ☐ are submitted herewith
- ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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209745US25XCONT
Client Ref: OBSP/5R-GARD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF :

WILL H. GARDENSWARTZ ET AL : GROUP:

SERIAL NO. NEW APPLICATION : EXAMINER:

FILED: HEREWITH :

FOR: COMMUNICATING WITH A
COMPUTER BASED ON THE
OFFLINE PURCHASE HISTORY
OF A PARTICULAR CONSUMER

LETTER REQUESTING APPROVAL OF DRAWING CHANGES

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR:

Please review for approval the proposed change to the Formal Drawings shown in
RED on the attached photocopies of Figures 1 and 3.

Once this change has been reviewed and approved by the Examiner in charge of this
case, instructions for its implementation will be forwarded to an approved bonded draftsman.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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